

| FORM PTO-1449 U.S. DEPT. OF COMMERCE<br>Patent & Trademark Office                |     | ATTY. DOCKET NO.<br><br>1409 US FA   | SERIAL NO.<br><br>10/007,423<br>Confirmation No.: 3767 |                    |       |          |                               |
|--|-----|--|--|--------------------|-------|----------|-------------------------------|
| LIST OF DOCUMENTS CITED BY<br>APPLICANT<br><br>(Use several sheets if necessary) |     | APPLICANT<br><br>Asgharian, Bahram   | GROUP<br><br>TC 1700<br>1744                           |                    |       |          |                               |
|  |     | FILING DATE<br><br>October 22, 2001  |  |                    |       |          |                               |
| U.S. PATENT DOCUMENTS  |     |  |  |                    |       |          |                               |
| EXAMINER<br>INITIAL  |     | DOCUMENT<br>NUMBER   | DATE   | NAME               | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|  | AA1 | 4,407,791  | 10/4/83  | Stark              |       |          |                               |
|  | AB1 | 4,525,346  | 6/25/85  | Stark              |       |          |                               |
|  | AC1 | 4,732,185  | 3/22/88  | Cowle, et al.      |       |          |                               |
|  | AD1 | 4,734,475  | 3/29/88  | Goldenberg, et al. |       |          |                               |
|  | AE1 | 4,758,595  | 7/19/88  | Ogunbiyi, et al.   |       |          |                               |
|  | AF1 | 4,829,083  | 5/9/89   | Doulakas           |       |          |                               |
|  | AG1 | 4,904,359  | 2/27/90  | Pancheri, et al.   |       |          |                               |
|  | AH1 | 5,171,526  | 12/15/92   | Wong, et al.       |       |          |                               |
|  | AI1 | 5,260,021  | 11/9/93  | Zeleznick          |       |          |                               |
|  | AJ1 | 5,281,277  | 1/25/94  | Nakagawa, et al.   |       |          |                               |
|  | AK1 | 5,342,620  | 8/30/94  | Chowhan            |       |          |                               |
| FOREIGN PATENT DOCUMENTS   |     |  |  |                    |       |          |                               |
|  |     | DOCUMENT<br>NUMBER   | DATE   | COUNTRY            | CLASS | SUBCLASS | TRANSLATION<br>YES      NO    |
|  | AL1 | WO 92/11876  | 7/23/92  | WIPO               |       |          |                               |
|  | AM1 | WO 94/19027  | 9/1/94   | WIPO               |       |          |                               |
|  | AN1 | WO 96/02624  | 2/1/96   | WIPO               |       |          |                               |
|  | AO1 | WO 96/03158 A1   | 2/8/96   | WIPO               |       |          |                               |
|  | AP1 | WO 96/03973  | 2/15/96  | WIPO               |       |          |                               |
| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)           |     |  |  |                    |       |          |                               |
|  | ARI | BASF, "Technical Data on Tetronic® Series Nonionic Surfactants", company brochure.                               |  |                    |       |          |                               |
|  | AS1 | Merck Index 12 <sup>th</sup> Edition, "Tromethamine Monograph", page 1664, (1996)                                |  |                    |       |          |                               |
|  | AT1 | ANGUS Chemical Company Technical Bulletin TB 69, "Tris Amino® Tris(Hydroxymethyl)Aminomethane", page 1-4, (1999) |  |                    |       |          |                               |

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| EXAMINER<br><i>Brian J. Angel</i> | DATE CONSIDERED<br><i>7/25/04</i> |
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| FORM PTO-1449 U.S. DEPT. OF COMMERCE<br>Patent & Trademark Office                        |                     | ATTY. DOCKET NO.<br><br>1409 US FA   | SERIAL NO.<br><br>10/007,423 |       |          |                            |
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| <b>LIST OF DOCUMENTS CITED BY<br/>APPLICANT</b><br><br>(Use several sheets if necessary) |                     | CONFIRMATION NO. 3767  |                              |       |          |                            |
|  |                     | APPLICANT<br><br>Asgharian, Bahram   |                              |       |          |                            |
|  |                     | FILING DATE<br><br>October 22, 2001  | GROUP<br><br>1744            |       |          |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |                     |  |                              |       |          |                            |
| EXAMINER INITIAL   | DOCUMENT NUMBER     | DATE   | NAME                         | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| KJ   | AA2 5,356,555       | 10/18/94   | Huth, et al.                 |       |          |                            |
| VV   | AB2 5,393,491       | 2/28/95  | Dassanayake, et al.          |       |          |                            |
|  | AC2 5,422,073       | 6/6/95   | Mowrey-McKee, et al.         |       |          |                            |
|  | AD2 5,460,658       | 10/24/95   | Nakagawa, et al.             |       |          |                            |
|  | AE2 5,505,953       | 4/9/96   | Chowhan                      |       |          |                            |
| VV   | AF2 5,573,726       | 11/12/96   | Dassanayake, et al.          |       |          |                            |
|  | AG2 5,631,005       | 5/20/97  | Dassanayake, et al.          |       |          |                            |
| KJ   | AH2 5,958,387       | 9/28/99  | Bara, et al.                 |       |          |                            |
|  | AI2                 |  |                              |       |          |                            |
|  | AJ2                 |  |                              |       |          |                            |
|  | AK2                 |  |                              |       |          |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |                     |  |                              |       |          |                            |
|  | DOCUMENT NUMBER     | DATE   | COUNTRY                      | CLASS | SUBCLASS | TRANSLATION                |
|  |                     |  |                              |       |          | YES      NO                |
| KJ   | AL2 WO 98/20912     | 5/22/98  | Japan                        |       |          | X                          |
| VV   | AM2 EP 0 888 780 A1 | 1/7/99   | Europe                       |       |          |                            |
| KJ   | AN2 2,098,472       | 12/18/93   | Canada                       |       |          |                            |
|  | AO2                 |  |                              |       |          |                            |
|  | AP2                 |  |                              |       |          |                            |
| <b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>            |                     |  |                              |       |          |                            |
| KJ   | AR2                 | ANGUS Chemical Company Marketing Benchmarks AMB 51, "Tris Amino® (Tris Buffer) Applications in Eye-Care Pharmaceuticals", page 1-4, (2000)                         |                              |       |          |                            |
| VV   | AS2                 | Harper, et al.; "Simple Additives to Increase the Activity of Chlorhexidine Digluconate Against Urinary Pathogens", Paraplegia, vol. 21, pages 86-93, (1983)       |                              |       |          |                            |
| KJ   | AT2                 | Wooley, et al., "Action of Edta-Tris and Antimicrobial Agent Combinations on Selected Pathogenic Bacteria", Veterinary Microbiology, vol. 8, pages 271-280, (1983) |                              |       |          |                            |

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| EXAMINER<br><i>Kiran J. Joshi</i> | DATE CONSIDERED<br><i>7/16/01</i> |
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